

# THE COTSWOLD SCHOOL – POLICY DOCUMENT



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<b>Policy:</b>	<b>Data Protection Policy</b>
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<b>Updated by:</b>	<b>Mrs V Turner</b>

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## 1. Introduction

In order to operate efficiently The Cotswold School has to collect and use information about people with whom it works. These may include members of the public, current, past and prospective employees, clients and customers, and suppliers. In addition it may be required by law to collect and use information in order to comply with the requirements of central government.

This policy document is in line with the model policy provided by Gloucestershire County Council for Gloucestershire schools and in line with the requirements set out by the Information Commissioner's Office (ICO).

The Cotswold School is committed to ensuring personal information is properly managed and that it ensures compliance with the Data Protection Act 1998 [DPA]. The School will make every effort to meet its obligations under the legislation and will regularly review procedures to ensure that it is doing so.

## 2. Scope

This policy applies to all employees, governors, contractors, agents and representatives and temporary staff working for or on behalf of the School.

This policy applies to all personal information created or held by the School in whatever format (e.g. paper, electronic, email, microfiche, film) and however it is stored, (for example ICT system/database, shared drive filing structure, email, filing cabinet, shelving and personal filing drawers).

The DPA does not apply to access to information about deceased individuals.

## 3. Responsibilities

It is the responsibility of the Governors to ensure procedures are in place to ensure that the school complies with the Data Protection Act 1998 (DPA).

The Principal is responsible for ensuring compliance with the DPA and this policy within the day to day activities of the School. The Principal is responsible for ensuring that appropriate training is provided for all staff.

All members of staff or contractors who hold or collect personal data are responsible for their own compliance with the DPA and must ensure that personal information is kept and processed in-line with the DPA.

## **4. The Requirements**

The Data Protection Act 1998 establishes eight enforceable principles that must be adhered to at all times. The principles require that personal information:

1. Shall be processed fairly and lawfully and in particular, shall not be processed unless specific conditions are met;
2. Shall be obtained only for one or more specified and lawful purposes and shall not be further processed in any manner incompatible with that purpose or those purposes;
3. Shall be adequate, relevant and not excessive in relation to the purpose or purposes for which it is processed;
4. Shall be accurate and where necessary, kept up to date;
5. Shall not be kept for longer than is necessary for that purpose or those purposes;
6. Shall be processed in accordance with the rights of data subjects under the Act;
7. Shall be kept secure i.e. protected by an appropriate degree of security;
8. Shall not be transferred to a country or territory outside the European Economic Area, unless that country or territory ensures an adequate level of data protection.

Personal data is information about living, identifiable individuals. It covers both facts and opinions about the individual, but need not be sensitive information. It can be as little as a name and address. Such data can be part of a computer record or manual record.

## **5. Notification**

The Data Protection Act 1998 requires every data controller who is processing personal data, to notify and renew their notification, on an annual basis. Failure to do so is a criminal offence. The Information Commissioner maintains a public register of data controllers, in which the School is registered.

The Cotswold School will review the Data Protection Register (<http://www.ico.gov.uk/ESDWebPages/search.asp>) annually, prior to renewing the notification to the Information Commissioner.

## **6. Privacy Notices**

Whenever information is collected about individuals they must be made aware of the following:

- The identity of the data controller, e.g. the School;
- The purpose that the information is being collected for;
- Any other purposes that it may be used for;
- Who the information will or may be shared with; and
- How to contact the data controller.

This must be at the time that information first starts to be gathered on an individual.

## 7. Conditions for Processing

Processing of personal information may only be carried out where one of the conditions of Schedule 2 of the DPA has been satisfied. (see Schedules appended to this policy).

Processing of sensitive personal data may only be carried out if a condition in Schedule 3 is met as well as one in Schedule 2. (see Schedules appended to this policy).

## 8. Provision of Data

It is a criminal offence to knowingly or recklessly obtain or disclose information about an individual without legitimate cause. Relevant, confidential data should only be given to:

- *other members of staff on a need to know basis;*
- *relevant Parents/Guardians;*
- *other authorities if it is necessary in the public interest, e.g. prevention of crime;*
- *other authorities, such as the LEA and schools to which a pupil may move, where there are legitimate requirements (DfE leaflet 0015/2000 entitled "Pupil Records and Reports" issued in March 2000 covers Data Protection issues and how and what information should be transferred to other schools. DfES/0268/2002 provides further information).*

The Data Protection Act 1998 allows exemptions as to the provision of some information; **therefore all information will be reviewed prior to disclosure.**

Where redaction (information blacked out/removed) has taken place then a full copy of the information provided should be retained in order to establish, if a complaint is made, what was redacted and why.

Information disclosed should be clear, thus any codes or technical terms will need to be clarified and explained. If information contained within the disclosure is difficult to read or illegible, then it should be retyped.

Information can be provided at the school with a member of staff on hand to help and explain matters if requested, or provided at face to face handover.

The views of the applicant should be taken into account when considering the method of delivery. If postal systems have to be used then registered/recorded mail must be used.

The Cotswold School should not disclose anything on a pupil's record which would be likely to cause serious harm to their physical or mental health or that of anyone else; nor should any information that would reveal that the child is at risk of abuse, or information relating to court proceedings. Therefore, those who create such records should ensure that such information is separated from other records.

Where there is doubt or statutory requirements conflict advice should be obtained.

When giving information to an individual, particularly by telephone, it is most important that the individual's identity is verified. If in doubt, questions should be asked of the individual, to which only he/she is likely to know the answers. Information should not be provided to other

parties, even if related. For example: in the case of divorced parents it is important that information regarding one party is not given to the other party to which he/she is not entitled.

The identity of the requestor must be established before the disclosure of any information, and checks should also be carried out regarding proof of relationship to the child. Evidence of identity can be established by requesting production of:

- passport
- driving licence
- utility bills with the current address
- Birth / Marriage certificate
- P45/P60
- Credit Card or Mortgage statement

*This list is not exhaustive.*

## **9. The individual's right to access their personal information (Subject Access Requests)**

Any person whose details are held by the School is entitled, under the DPA, to ask for a copy of all information held about them (or child for which they are responsible).

When a request is received it must be dealt with promptly; a response must be provided as soon as possible and within 40 calendar days and in some instances 15 school days.

Third party information is that which has been provided by another, such as the Police, Local Authority, Health Care professional or another school. Before disclosing third party information consent should normally be obtained. There is still a need to adhere to the 40 day statutory timescale.

The School may make a charge of up to £10 for responding to a subject access request and up to £50 (on a sliding scale for photocopying charges) for access to a pupil's educational record.

When providing the information the School must also provide a description of why the information is processed, details of anyone it may be disclosed to and the source of the data.

## **10. Provision of data to children**

In relation to the capacity of a child to make a subject access request, guidance provided by the Information Commissioner's Office has been that by the age of 12 a child can be expected to have sufficient maturity to understand the nature of the request. A child may of course reach sufficient maturity earlier; each child should be judged on a case by case basis.

If the child does not understand the nature of the request, someone with parental responsibility for the child, or a guardian, is entitled to make the request on behalf of the child and receive a response.

Pupils who submit requests to access their educational records should be allowed to do so unless it is obvious that they do not understand what they are asking for.

## **11. Parents' rights**

An adult with parental responsibility can access the information about their child, as long as the child is not considered to be sufficiently mature. They must be able to prove their parental responsibility and the School is entitled to request relevant documentation to evidence this as well as the identity of the requestor and child.

In addition, parents have their own independent right under The Education (Pupil Information) (England) Regulations 2000 of access to the official education records of their children. Students do not have a right to prevent their parents from obtaining a copy of their school records.

## **12. Information Security**

All members of staff should be constantly aware of the possibility of personal data being seen by unauthorised personnel. For example, possibilities may arise when computer screens are visible to the general public; files may be seen by the cleaners if left on desks overnight (all papers must be locked in cabinets when not in use).

The use of computer passwords (which are regularly updated) is a requirement of the school to avoid unauthorised access. Back up discs must be kept off site.

## **13. Maintenance of up to date data**

Out of date information should be discarded if no longer relevant. Information should only be kept as long as needed, for legal or business purposes. In reality most relevant information should be kept for the period during which the person is associated with the School plus an additional period which the School has determined.

## **14. Inaccurate Data**

If an individual complains that the personal data held about them is wrong, incomplete or inaccurate, the position should be investigated thoroughly including checking with the source of the information. In the meantime a caution should be marked on the person's file that there is a question mark over the accuracy. An individual is entitled to apply to the court for a correcting order and it is obviously preferable to avoid legal proceedings by working with the person to correct the data or allay their concerns.

## **15. Recording of Data**

Records should be kept in such a way that the individual concerned can inspect them. It should also be borne in mind that at some time in the future the data may be inspected by the courts or some legal official. It should therefore be correct, unbiased, unambiguous and clearly decipherable/readable. Where information is obtained from an outside source, details of the source and date obtained should be recorded.

Any person whose details, or child's details, are to be included on the School's website will be required to give written consent. At the time the information is included all such individuals will be properly informed about the consequences of their data being disseminated worldwide.

## 16. Photographs

Whether or not a photograph comes under the DPA is a matter of interpretation and quality of the photograph. However, the School takes the matter extremely seriously and seeks to obtain parents' permission for the use of photographs outside the School and, in particular, to record their wishes if they do not want photographs to be taken of their children.

## 17. Breach of the policy

Non-compliance with the requirements of the DPA by the members of staff could lead to serious action being taken by third parties against the school authorities. Non-compliance by a member of staff is therefore considered a disciplinary matter which, depending on the circumstances, could lead to dismissal. It should be noted that an individual can commit a criminal offence under the Act, for example, by obtaining and/or disclosing personal data for his/her own purposes without the consent of the data controller.

This policy is written and administered with due regard to our duty and commitment as a school: to consider all aspects of equality and diversity.

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ratified by Governors and  
signed as such by The Chair of Governors

(08/02/2016)

## Abbreviations

Abbreviation	Description
DPA	Data Protection Act 1998
EIR	Environmental Information Regulations 2004
FoIA	Freedom of Information Act 2000

## Glossary

Data Controller	A data controller is the individual or the legal person who controls and is responsible for the keeping and use of personal information on computer or in structured manual files.
Data Subject	The individual who the data or information is about
Educational record	The educational record is confined to information that comes from a teacher or other employee of a local authority or school, the pupil or their parents. Communications about a particular child from head teachers and teachers at a school and other employees at an education authority will therefore form part of that child's official educational record, as will correspondence from an educational psychologist engaged by the governing body under a contract of services. It may also include information from the child and their parents, such as information about the health of the child. Information kept by a teacher solely for their own use does not form part of the official educational record.
Information Commissioner	The independent person who has responsibility to see that the DPA is complied with. They can give advice on data protection issues and can enforce measures against individuals or organisations who do not comply with the DPA.
Notified Purposes	The purposes for which the school is entitled to process that data under its notification with the Office of the Information Commissioner.
Personal Data	Defined in s(1) of the DPA, as 'data which relates to a living individual who can be identified from that data, or from that data and other information which is in the possession of, or is likely to come into the possession of, the data controller' (the school is a data controller), and includes any expression of opinion about the individual and any indication of the intentions of the data controller or any other in respect of the individual.
Processing	covers a broad range of activities such that virtually any use of personal information or data will amount to processing.
Processed fairly and lawfully	Data must be processed in accordance with the 3 provisions of the DPA. These are the data protection principles, the rights of the individual and notification.
Sensitive Data	Information about racial or ethnic origin, sexual life, religious beliefs (or similar), physical or mental health/condition, membership of a trade union, political opinions or beliefs, details of proceedings in connection with an offence or an alleged offence.
Subject Access Request	An individual's request for personal data under the Data Protection Act 1998.

## **Original Schedule 2 Conditions**

- 1** The data subject has given his consent to the processing.
- 2** The processing is necessary—
  - (a) for the performance of a contract to which the data subject is a party, or
  - (b) for the taking of steps at the request of the data subject with a view to entering into a contract.
- 3** The processing is necessary for compliance with any legal obligation to which the data controller is subject, other than an obligation imposed by contract.
- 4** The processing is necessary in order to protect the vital interests of the data subject.
- 5** The processing is necessary—
  - (a) for the administration of justice,
  - (b) for the exercise of any functions conferred on any person by or under any enactment,
  - (c) for the exercise of any functions of the Crown, a Minister of the Crown or a government department, or
  - (d) for the exercise of any other functions of a public nature exercised in the public interest by any person.
- 6** (1) The processing is necessary for the purposes of legitimate interests pursued by the data controller or by the third party or parties to whom the data are disclosed, except where the processing is unwarranted in any particular case by reason of prejudice to the rights and freedoms or legitimate interests of the data subject.  
(2) The Secretary of State may by order specify particular circumstances in which this condition is, or is not, to be taken to be satisfied.

## **The Original Schedule 3 Conditions**

- 1** The data subject has given his explicit consent to the processing of the personal data.
- 2** (1) The processing is necessary for the purposes of exercising or performing any right or obligation which is conferred or imposed by law on the data controller in connection with employment.  
(2) The Secretary of State may by order—
  - (a) exclude the application of sub-paragraph (1) in such cases as may be specified, or
  - (b) provide that, in such cases as may be specified, the condition in sub-paragraph (1) is not to be regarded as satisfied unless such further conditions as may be specified in the order are also satisfied.
- 3** The processing is necessary—
  - (a) in order to protect the vital interests of the data subject or another person, in a case where—
    - (i) consent cannot be given by or on behalf of the data subject, or
    - (ii) the data controller cannot reasonably be expected to obtain the consent of the data subject, or
  - (b) in order to protect the vital interests of another person, in a case where consent by or on behalf of the data subject has been unreasonably withheld.
- 4** The processing—
  - (a) is carried out in the course of its legitimate activities by any body or association which—
    - (i) is not established or conducted for profit, and
    - (ii) exists for political, philosophical, religious or trade-union purposes,
  - (b) is carried out with appropriate safeguards for the rights and freedoms of data subjects,
  - (c) relates only to individuals who either are members of the body or association or have regular contact with it in connection with its purposes, and
  - (d) does not involve disclosure of the personal data to a third party without the consent of the data subject.
- 5** The information contained in the personal data has been made public as a result of steps deliberately taken by the data subject.

- 6** The processing—
- (a) is necessary for the purpose of, or in connection with, any legal proceedings (including prospective legal proceedings),
  - (b) is necessary for the purpose of obtaining legal advice, or
  - (c) is otherwise necessary for the purposes of establishing, exercising or defending legal rights.
- 7** (1) The processing is necessary—
- (a) for the administration of justice,
  - (b) for the exercise of any functions conferred on any person by or under an enactment, or
  - (c) for the exercise of any functions of the Crown, a Minister of the Crown or a government department.
- (2) The Secretary of State may by order—
- (a) exclude the application of sub-paragraph (1) in such cases as may be specified, or
  - (b) provide that, in such cases as may be specified, the condition in sub-paragraph (1) is not to be regarded as satisfied unless such further conditions as may be specified in the order are also satisfied.
- 8** (1) The processing is necessary for medical purposes and is undertaken by—
- (a) a health professional, or
  - (b) a person who in the circumstances owes a duty of confidentiality which is equivalent to that which would arise if that person were a health professional.
- (2) In this paragraph “medical purposes” includes the purposes of preventative medicine, medical diagnosis, medical research, the provision of care and treatment and the management of healthcare services.
- 9** (1) The processing—
- (a) is of sensitive personal data consisting of information as to racial or ethnic origin,
  - (b) is necessary for the purpose of identifying or keeping under review the existence or absence of equality of opportunity or treatment between persons of different racial or ethnic origins, with a view to enabling such equality to be promoted or maintained, and
  - (c) is carried out with appropriate safeguards for the rights and freedoms of data subjects.
- (2) The Secretary of State may by order specify circumstances in which processing falling within sub-paragraph (1)(a) and (b) is, or is not, to be taken for the purposes of sub-paragraph (1)(c) to be carried out with appropriate safeguards for the rights and freedoms of data subjects.
- 10** The personal data are processed in circumstances specified in an order made by the Secretary of State for the purposes of this paragraph.

**The School is aware that these schedules can be amended.**

<http://www.opsi.gov.uk>

<http://www.statutelaw.gov.uk/SearchResults.aspx?TYPE=QS&Title=data+protection+act&Year=&Number=&LegType=All+Legislation>